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ROUTING AND RECORD SHEET

SUBJECT: (Optional)

FROM: *Lee*
EE/G/PI *3c*
2203 K Ext. 3915

NO. *Harvard - FY 62*
DATE 4 August 1961

TO: (Officer designation, room number, and building)

DATE

OFFICER'S INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

RECEIVED

FORWARDED

1.
C/EE/G/PI

2.
DC/EE/G

3.
C/EE/G

4.
5.
C/EE/Budget

6.
7.
EE/OPS

8.
9.
DDP | PG | FI

10.
11.
EE / ops 2016K

12.
13.
EE / G

14.
15.

14 Aug.

19 SEP 1961

25 Aug &

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCE METHOD EXEMPTION 3020
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

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MEMORANDUM FOR: Chief of Operations, DD/P

SUBJECT : Project HARVARD (Renewal)

EE - Germany

1. The attached project, originating in EE Division, is presented for renewal for the period 1 July 1961 through 30 June 1962. This project provides general support to the German Station and to the Defector Program in particular in handling the rehabilitation and resettlement of defectors and agents.

2. Authority is requested to obligate [] from the DD/P-EE Division budget in fiscal year 1962, subject to the availability of funds. This estimate includes \$15,000 for transportation of things and travel; \$500 for communications; \$15,000 for rent and utilities; \$5,000 for salaries of indigenous housekeepers; \$5,000 for supplies and equipment; [] for compensation to defectors and resettlement payments; \$8,000 for other contractual services, mainly medical costs; and \$1,000 for operational entertainment.

3. The attached request for renewal reviews activities of the previous year. The Division notes 74 resettlement cases handled in FY 61, an increase of 18 over the previous year. It comments that the replacement of PL 85-316 by the Parole Act as the major vehicle for refugee immigration to the United States has involved a somewhat longer period of retention in Germany for those cases so destined. FY 61 cases were handled by immigration to the United States (16), immigration to the British Commonwealth (3), resettlement in West Germany (48), transfer to USEP agencies (2), transfer to ODIBEX or UPSWING (2), return to East Germany (1), and disappearance (2). In justifying the increased costs over the previous approval, the Division notes that for the first time, HARVARD is paying for housekeeping costs jointly shared with CABEZONE and formerly paid from that project.

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4. Appropriate Special Staff elements recommend renewal of this project.

5. I recommend renewal of Project HARVARD as presented.

[
Chief, DDP/PG]

Approved: [

COP-DD/P]

15 SEP 1961

Date

Attachments:
Project Outline HARVARD
FI Memorandum

Staff Coordination:
For FI Staff -s
For CI Staff -s
For CCG -s]

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8 SEP 1961

MEMORANDUM FOR: Chief of Operations, DD/P

THROUGH : DDP/PG

SUBJECT : Project HARVARD

FI Staff recommends approval of Project HARVARD.

 Chief
Foreign Intelligence

Attachment:
Project

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(When Filled In)

PROJECT ACTION					PROJECT CRYPTONYM HARVARD				
	TYPE OF ACTION		<input checked="" type="checkbox"/> XX	FI/CI	CA				
	NEW PROJECT APPROVAL		DIVISION Eastern Europe						
	AMENDMENT NO.		FIELD STATION Frankfurt						
	<input checked="" type="checkbox"/> XX RENEWAL FY 1962		BRANCH German		DESK Positive Intelligence				
	EXTENSION		CASE OFFICE						
	TERMINATION		ROOM NO. 2203	BUILDING K		EXTENSION 3915			
CONCURRENCES OF DIVISION									
ORGANIZATIONAL ELEMENT	TYPED NAME (And signature)				DATE	COPY NO.	MEMORANDUM ATTACHED		
C/EE/G/PI	[Signature]				8 Aug 61		YES	NO	DATE
C/EE/G	[Signature]				7 Aug 61				
C/EE/BUDGET	[Signature]				14 Aug 61				
C/EE/OPS	[Signature]				15 Aug 61				
DC/EE	[Signature]								
AC/EE	[Signature]				24 Aug				
CONCURRENCES OF OTHER COMPONENTS									
APPROVAL									
PERIOD		TOTAL AMOUNT		FY	AMOUNT				
FROM	TO	[Signature]		196	\$				
1 July 1961	30 June 1962	[Signature]		1962	[Signature]				
APPROVING AUTHORITY			SIGNATURE			DATE			
						RPS			

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HARVARD PROJECT RENEWAL

A. CURRENT OBJECTIVES

Project HARVARD provides general support to CIA Germany and to the Defector Program in particular in handling the rehabilitation and resettlement of defectors and agents. HARVARD's services are also used occasionally by other members of the United States Intelligence Community and by other CIA area divisions when the need arises.

B. CHANGES

The only major change provided for in the current project estimate is the assumption by HARVARD of a portion of rents and salaries previously paid by Project CABEZONE (see Paragraph I).

C. INTELLIGENCE PRODUCTION

By its nature, HARVARD does not provide an intelligence product.

D. EFFECTIVENESS

The number of resettlement cases handled by HARVARD for each fiscal year since 1953 is as follows:

1953	58 95	1957	194
1954	182	1958	90
1955	61	1959	93
1956	114	1960	56
		1961	74

These figures include defectors, agents and "identities" (short term sources with limited information who do not qualify for defector status and have no operational potential; the bulk of North Korean student defectors from East Germany fall into this category, for example). Family members processed by HARVARD during FY 1961 accounted for an additional 75 persons, an increase of 30% over the total caseload for the previous year.

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Cases settled in FY 1961 were disposed of as follows:

Immigration to the United States	16
Immigration to British Commonwealth	3
Resettlement in West Germany	48
Transferred to USEP agencies	2
Transferred to ODIBEX and UPSWING	2
Returned to East Germany	1
Disappeared	2

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E. PROBLEMS

There were no unusual problems during the last year, and none anticipated for the current year. The replacement of PL 85-316 by the Parole Act (Joint House Resolution 397) as the major vehicle for refugee immigration to the United States has involved a somewhat longer period of retention in Germany for cases destined for the United States, but this does not appear to have had a noticeable effect on maintenance costs.

F, G. LIAISON AND INTERAGENCY COORDINATION

HARVARD relies on close cooperation with United States consular and immigration officers, with representatives of the United States Escapee Program and affiliated private welfare agencies (NCWC, WCC) and occasionally with foreign consular representatives.

H. PLANS

Since the defector load increased by 18% during fiscal year 1961, HARVARD estimates that the caseload for the current year will be as great and probably greater than last year.

I. COSTS

1. During FY 1961, [] was allocated from EE funds for the HARVARD budget. As of 30 June, [] had been spent or obligated. Less than 5% of the headquarters desk officer's time is spent on project administration, since United States resettlement cases are handled between OO and the area desk concerned.

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2. For the current year, HARVARD has requested [] a \$23,500 increase over last year's approval. This increase represents HARVARD's share of (a) rents and utilities on properties shared with CABEZONE; (b) salaries to indigenous housekeeping personnel; and (c) supplies and equipment used in these jointly shared properties. This is the first year since HARVARD was merged with CABEZONE that the HARVARD Project has been assessed for its share of rent, salaries, and maintenance.

The total estimate is broken down as follows (1961 figures have also been included for comparison):

	FY 1962	FY 1961
TRAVEL EXPENSES	\$10,000	\$10,000
TRANSPORTATION OF THINGS	5,000	*
COMMUNICATIONS	500	500
RENTS AND UTILITIES	15,000	1,500
OTHER CONTRACTUAL SERVICES	8,000	**
COMPENSATION OF DEFECTORS	10,500	**
INDIGENOUS HOUSEKEEPING SALARIES	5,000	--
OPERATIONAL ENTERTAINMENT	1,000	1,000
SUPPLIES AND EQUIPMENT	5,000	1,000
RESETTLEMENT PAYMENTS	[]	[]
	[]	[]

*Included under Travel Expenses

**Included under Resettlement Payments

The following brief explanations are keyed to the above estimates:

Travel and Transportation of Things - \$15,000

This is the estimated cost for transporting and relocating resettlement cases and for shipping household and personal effects.

Communications - \$500

This estimate includes mail, telephone and telegraph costs incurred in maintaining contact with resettlement cases.

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Rents and Utilities - \$15,000

This is approximately one-third of the total cost of renting and maintaining properties used by CABEZONE and HARVARD. The CABEZONE Project will cover the rest.

Other Contractual Services - \$8,000

This is the amount needed to cover medical and dental costs, hospitalization or institutional care for resettlement cases and their families.

Compensation of Defectors and Resettlement Payments []

This figure includes reimbursement for subsistence and housing on the local economy, rehabilitation or preparatory training (language instruction) and termination payments to agents.

Indigenous Housekeeping Personnel - \$5,000

As explained above, HARVARD will pay its share of salaries for indigenous housekeeping personnel and handymen in properties which the project uses.

Operational Entertainment - \$1,000

This is the estimated cost of entertaining members of private welfare organizations, and foreign consular representatives with whom we deal on resettlement arrangements. It also covers meetings with resettlement cases.

Supplies and Equipment - \$5,000

This item includes clothing, training aids, books, plus equipment and furniture used in rented properties.

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